LOUISIANA STATE UNIVERSITY HEALTH SYSTEM **HEALTH CARE SERVICES DIVISION BATON ROUGE, LA**

POLICY NUMBER: 10002-24

CATEGORY: Sponsored Projects and Research

CONTENT: Conflict of Interest in Research

APPLICABILITY: This policy will apply to employees of the Health Care Services

Administration (HCSDA) and Lallie Kemp Medical Center

(LKMC).

EFFECTIVE DATE: July 6, 2009 Issued:

> November 7, 2011 Revised: Revised: October 11, 2012 Revised: January 23, 2017 June 8, 2017 Reviewed: August 20, 2024 Revised:

LSU Health Care Services Division **INQUIRIES TO:**

Post Office Box 91308

Baton Rouge, LA 70821-1308

Note: Approval signatures/titles are on last page.

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LSU – HEALTH CARE SERVICES DIVISION CONFLICTS OF INTEREST IN RESEARCH

I. POLICY STATEMENT

The Health Care Services Division (HCSD) and its employees are committed to the principle of free, open, and objective inquiry in the conduct of its service mission and research. Accordingly, it is crucial that HCSD activities be conducted in an atmosphere that is free of conflicts of interest compromising this principle. To comply with federal regulations, HCSD must manage, reduce, or eliminate any actual or potential conflicts of interest that may be presented by a financial interest of an investigator.

HCSD utilizes the LSU Health Sciences Center New Orleans (LSUHSC-NO) for IRB and adheres to its Conflict-of-Interest policy.

LSUHSC-NO encourages faculty, staff, students, house officers, and other employees to participate in meaningful professional relationships with industry, government, and private entities. These relationships are established for mutually beneficial reasons and many times produce knowledge and intellectual property that will help the community at large. However, these relationships may create financial or non-financial interests that have the potential to create a bias in decisions.

Chancellor's Memorandum #35 (CM-35) Updated May 3, 2023 - The "Conflicts of Interest (COI) in Research" policy seeks to maintain a reasonable balance between competing interests, gives LSUHSC-NO the ability to identify and manage financial and non-financial interests, and minimizes the reporting and other burdens on investigators. This policy meets the federal regulations governing the disclosure and reporting of financial conflicts of interest (FCOI).

This Policy applies to and requires compliance by all LSUHSC-NO administrators, faculty members (including part-time, gratis, and visiting faculty), students (including post-doctoral fellows), house officers, staff and other employees, as well as immediate family members of these persons, who **propose**, **conduct**, **report**, or **approve** of the results of research, regardless of funding source.

External personnel participating in LSUHSC-NO research must also follow this policy unless they are affiliated with an institution listed on the Federal Demonstration Partnership (FDP) website. The FDP website lists institutions whose authorized official have certified that they are compliant with the PHS FCOI rules and regulations.

Note: Any reference herein to Health Care Services Division (HCSD) also applies and pertains to Lallie Kemp Medical Center (LKMC.)

II. IMPLEMENTATION

This policy and subsequent revisions to this policy shall become effective upon approval and signature of the HCSD Executive Officer/Designee.

III. EXCEPTIONS

The HCSD CEO or designee may waive, suspend, change or otherwise deviate from provisions of this policy they deem necessary to meet the needs of the agency as long as it does not violate the intent of this policy; state and/or federal laws; Civil Service Rules and Regulations; LSU Policies/Memoranda; or any other governing body regulations.

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